

# PAN BASED NACH MANDATE CUM SIP REGISTRATION FORM

BARODA PIONEER MUTUAL FUND



Please read product labeling details available on cover page and the instructions before filling up the Application Form. Tick (✓) whichever is applicable, strike out whichever is not required. Please refer the SIP : Terms & Conditions while filling up the Form. Tick (✓) whichever is applicable, strike out whichever is not required.

## DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Schemes covered by this KIM)

Distributor / Broker ARN	Sub-Broker Code	Sub-Broker ARN	EUIN	LG Code	Bar Code
ARN - 71722					For Office use only

Upront commission shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

## TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Please refer instructions for filling up the Application Form - VIII)

I confirm that I am a First time investor across Mutual Funds. (₹ 150 deductible as Transaction Charge and payable to the Distributor)

I confirm that I am an existing investor across Mutual Funds. (₹ 100 deductible as Transaction Charge and payable to the Distributor)

In case the subscription amount is ₹ 10,000/- or more and your distributor has opted to receive Transaction Charges, they are deductible, as applicable, from the purchase / subscription amount and payable to the distributor. Units will be issued against the balance amount.

## ■ New Registration with BPMF ■ Change in Bank Account for existing Registration with BPMF ■ SIP Cancellation

First SIP cheque and subsequent via Auto Debit / ECS if the Bank is not participating in ACH Platform. (Please attach copy of cheque / cancelled cheque)

## PAN DETAILS (Mandatory)

\*If the First Applicant is a Minor, please state the details of Guardian. # Please attach PAN proof. \*(Refer Instruction IV)

First/Sole Applicant*	Second Applicant	Third Applicant
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## MICRO SIP (Only for Micro SIP - for aggregate investment not exceeding ₹ 50,000 in a financial year)

	Signature
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## INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name	
Folio / Application No.	(Existing Investors : please mention Folio Number)
Scheme	Option and Sub Option

## SIP AND PAYMENT DETAILS

Each SIP Amount (₹)	Frequency	<input type="checkbox"/> Monthly (Default)	<input type="checkbox"/> Calendar Quarter	Status:	<input type="checkbox"/> RI	<input type="checkbox"/> NRI																	
Amount in words																							
1 <sup>st</sup> SIP Cheque Details	Cheque No.	Date	D	D	M	M	Y	Y	Y	Y													
SIP Auto Debit Dates	<input type="checkbox"/> 1st	<input type="checkbox"/> 10th	<input type="checkbox"/> 15th	<input type="checkbox"/> 25th of the month	SIP Period	Start Form	D	D	M	M	Y	Y	Y	Y	End On	D	D	M	M	Y	Y	Y	Y

SIP date should be either 1<sup>st</sup> / 10<sup>th</sup> / 15<sup>th</sup> / 25<sup>th</sup> (Note: Cheque should be drawn on bank details provided below) (Note: Please allow minimum one month for auto debit to register and start).

I hereby authorise Baroda Pioneer Mutual Fund (BPMF) and their authorised service providers to debit my following bank account by ECS (Debit Clearing) / auto debit to account for collection of SIP payments.

OR Perpetual Until Cancelled (99 years) (Default)

I/We have read and understood the contents of the scheme related documents and hereby apply for allotment of units in the Scheme. I/We agree to abide by the terms, conditions, rules & regulations governing the Scheme. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclosed to me/us all the commissions (in trail commission or any other), payable to him for the different competing schemes of mutual funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct and express my/our willingness to make payments referred above through direct debit/participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Baroda Pioneer Mutual Fund, Baroda Pioneer Asset Management Company Ltd., its investment manager, or any of their appointed service providers or representatives responsible. I/We will also inform Baroda Pioneer Asset Management Company Ltd. about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf.

1st A/c Holder's Signature (as per Mutual Fund Record) / POA / Guardian	2nd A/c Holder's Signature (as per Mutual Fund Record) / POA / Guardian	3rd A/c Holder's Signature (as per Mutual Fund Record) / POA / Guardian
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## DEBIT MANDATE FOR NACH

BARODA PIONEER MUTUAL FUND UMRN  For Office Use Only Date

Sponsor Bank Code  For Office Use Only Utility Code  For Office Use Only

Tick (✓)  
Create   
Modify   
Cancel

I/We hereby authorize **BARODA PIONEER MUTUAL FUND** To debit (tick ✓) **SB / CA / CC / SB NRE / SB NRO / Other**

Bank A/c. Number

With Bank  Investor Bank Name and Branch IFSC  or MICR

An Amount of Rupees  ₹

FREQUENCY  Mthly  Qtrly  H-Yrly  Yrly  As & when presented DEBIT TYPE  Fixed Amount  Maximum Amount

Folio No.  Phone No.

PAN No.  Email ID

I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

### PERIOD

From	<input type="text"/>	<input type="text"/>	<input type="text"/>	Signature Primary Account holder	<input type="text"/>	Signature Account holder	<input type="text"/>	Signature Account holder		
To	<input type="text"/>	<input type="text"/>	<input type="text"/>							
Or	<input checked="" type="checkbox"/> Until cancelled	1. Name as in Bank Records			2. Name as in Bank Records			3. Name as in Bank Records		

Declaration: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / Corporate of the bank where I have authorized the debit.

## SYSTEMATIC INVESTMENT PLAN (SIP): TERMS & CONDITIONS

1. In case of new investors, kindly attach duly filled in Common Application Form (CAF) along with registration cum NACH (National Automated Clearing House) mandate form. Any other format of request will be rejected.
2. The NACH mandate cum SIP Registration form should be submitted either along with Common Application Form (CAF) or separately by existing unit holder at AMC offices or its Registrar and Transfer Agent at least 30 days prior to first SIP cycle date.
3. New investors should provide copy of cheque having same Bank details as mandated in the NACH/Auto Debit form.
4. The bank mentioned in NACH request must participate in local MICR clearing. MICR code starting and/or ending with "000" are not valid for NACH.
5. The AMC, the Registrar and other service providers shall not be responsible and liable for any financial loss that may be incurred by the investor with regard to SIP registration process, including any issue relating to NACH or auto debit registration.
6. Should the investor wish to cancel the SIP registration, the cancellation request shall be submitted 21 working days prior to the next SIP cycle date.
7. Should the investor wish to change the Bank Mandate any time during the currency of SIP, he / she should tick the appropriate box in the Mandate Form, fill in the revised bank details and submit the same to the AMC or its Registrar and Transfer Agent. Such revised form must be submitted 30 days prior to the next SIP cycle date.
8. Existing investors who wish to restart their SIPs, are required to submit NACH form duly signed indicating the existing Folio No. & investment details in the form. In case an investor has multiple UMRN, such investor need to mention the Bank Account details from which the investor intends to register SIP in addition to the Folio No.
9. Should an investor opt for a monthly SIP, the minimum number of months for which the investment will have to be made (SIP Period) shall be 6 months and the minimum investment amount (SIP Amount) shall be ₹ 500/- per month. Should the investor opt for a quarterly SIP, the SIP Period shall be 4 quarters and the SIP Amount shall be ₹ 1,500/- per quarter. Investors should note that the first SIP cheque and subsequent SIP installments should be of the same amount.
10. The Registrar will reject a Micro SIP application where it is found that the registration of the application will result in the aggregate of Micro SIP installments in a financial year exceeding ₹ 50,000 or where there is any deficiency in the application form or any supporting document. In case the first Micro SIP installment is processed (as the cheque may be banked), and the application / supporting document is found to be defective, the Micro SIP will be ceased for future installments. No refunds shall be made for the units already allotted. Investor, can however, redeem the units if so desired.
11. The investor(s) agrees to discharge the responsibility expected of him/them as a participant/s under NACH and hereby confirm adherence to the terms of the mandate. The investor(s) has/have authorized use of their contact details mentioned on the form/NACH mandate for the purpose of processing the mandate instruction and further authorize the bank(s) to debit the investor's account for any charges towards mandate verification, registration, transactions, etc. as may be applicable.
12. Maximum Amount: Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

## INSTRUCTION TO FILL THE NACH MANDATE FORM

1. Date: In format DD/MM/YYYY.
2. Select the appropriate checkbox to create, modify or cancel the mandate.
3. UMRN, Sponsor Bank Code and Utility Code to be left blank.
4. Bank Account Type: Tick the relevant box.
5. Fill Bank Account Number, Destination Bank, IFSC / MICR code, Folio No., PAN No., Telephone No., Email ID, and Holder name as per Bank Record.
6. If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM mandate is registered for the given bank details, AMC reserves the right to initiate the debit.
7. AMC reserves right to reject or process the application subject to internal verification.
8. The investor can transact up to the maximum amount per transaction as mandated in the NACH Form.
9. Period: Starting and Ending dates of NACH registration (in format DD/MM/YYYY). Investor can transact using this mandate, within the tenure specified.
10. Signature as per bank account.
11. Auto Debit / NACH Mandate is applicable for both Individual and Non-Individual.
12. Auto Debit / NACH Mandate request is liable to be rejected if the "Bank" mentioned in the request form is not listed in the NACH banks list.
13. AMC will initiate debit instructions to the investor's bank account upon receipt of valid investment instruction from the investor.
14. Accordingly, the investor shall ensure that the intended bank account remains funded well in advance of any given date to meet all his adhoc/ periodical commitments for transactions under the relevant mandates. Investor agrees that his bank may charge service fees for any dishonor.