



**A. Common Instructions:**

- 1. Instructions related to Aadhaar:** The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations and provision of the said data is mandatory as per applicable laws/rules/regulations. Post obtaining the Aadhaar number, AMC shall authenticate the same in accordance with the Aadhaar Act, 2016. AMC shall receive your demographic information which shall be used only to comply with applicable laws/rules/regulations. Investors can submit either copy of Aadhaar card or Letter issued by UIDAI containing proof of 'Applied for Aadhaar enrolment', as proof of Aadhaar. In case the investor has submitted the proof of enrolment for Aadhaar, the Aadhaar number shall be required to be provided for eventual authentication within 6 months from the date of account opening, failing which the account / folio shall cease to be operational. The Aadhaar number needs to be updated for all account holders, including that of joint holders, Guardian (in case of minor) and POA. In case of non-individual investors, the Aadhaar number should be updated for all the signatories by using 'Form for Aadhaar Details (Non-Individuals)' as per their list of signatories from time to time. If the Name given in the application does not match the name as appearing on the PAN Card/Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected.
2. Please read the Scheme related documents, Addenda, KIM carefully before submitting the form.
3. KYC: According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002, it is made compulsory for all unitholders to be KRA-KYC compliant while making an application for subscription of units. For more information on KRA-KYC, please log on to [www.mahindramutualfund.com](http://www.mahindramutualfund.com) before investing. Applications are liable to be rejected without any intimation to the applicants, if required KRA-KYC compliance is not complied by all the unit holders. In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request form' and submit the same at Point of Service of any KYC Registration Agency.
4. If the broker details are changed / re-written, please countersign near the broker box for confirmation.
5. Employee Unique Identification Number (EUID): For applications routed through distributors, in addition to the ARN of the distributor, Unitholders are requested to also provide the EUID of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor. Providing appropriate EUID would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUID box may be left blank, but it would be mandatory for the investor to provide signed confirmation as mentioned in the form. EUID is not required to be provided for redemption transactions.
6. In case ARN No/ Broker Code is mentioned in the form, but 'Direct Plan' is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan under respective scheme, subject to it being complete in all other aspects. Further, where application is received for 'Regular Plan' without Distributor code or 'Direct' mentioned in the ARN Column, the application will be processed under 'Direct Plan' of the scheme.

**B. Specific Instructions For Additional Purchases:**

1. To make an additional purchase, the first unit holder should be one of the bank account holders in the pay-in bank account i.e. the bank account used for issuing the payments to the Mutual Fund. Unitholder should attach necessary supporting documents as required by the Mutual Fund, if this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, or demand drafts.
2. Additional Purchase request should necessarily mention the pay-in bank account details i.e. account number and bank, branch name used for issuing the payments to the Mutual Fund.
3. Payment through Stock invest, outstation cheques, and third party payments will not be accepted. D.D. charges, if any, would be borne by the AMC only for the investors residing at places which are not covered by our offices / authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India.

- C. Specific Instructions For Redemption/Switch:** Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Redemptions/Switches will be processed only if amount/ units is clearly mentioned. If there is no sufficient amount/ units, the balance available free units in the respective account/folio will be redeemed/ shifted /switched. Proceeds of any redemption request will be sent only to a bank account that is already registered in the folio at the time of redemption transaction processing.



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